

FILE COPY



LOWRIE, LANDO
& ANASTASI, LLP
Dedicated to Intellectual Property Law

Date February 27, 2004

Number of pages (including cover): 9

F A X C O V E R

To PTO Office of Initial Patent Examination
Company: United States Patent and Trademark Office
Serial No.: 10/040,292
Filed: October 25, 2001
Title: LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS
Conf. No.: 1752
Fax: 703-746-9195

From Joseph Teja, Jr.

Direct dial 617-395-7017

Our File # C01104/70089

ORIGINAL DOCUMENTS SENT: ☐ 1st Class Mail ☐ Overnight Mail ☐ Air Mail ☒ Not SentCERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of Office of Initial Patent Examination, at FAX number 703-746-9195 at the United States Patent and Trademark Office on February 27, 2004.

Jeanne W. Chub

Transmitted herewith are the following documents

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Second Request for Corrected Filing Receipt |
| <input checked="" type="checkbox"/> | Copy of Corrected Filing Receipt mailed February 6, 2004 |

This transmission contains confidential information intended for use only by the above-named recipient. Reading, discussing, distributing, or copying this message by anyone other than the named recipient, or his or her employees or agents, is strictly prohibited. If you have received this fax in error, please notify us immediately by telephone, and destroy the original message.

IF YOU DID NOT RECEIVE ALL OF THE PAGES OF THIS TRANSMISSION OR IF ANY OF THE PAGES ARE ILLEGIBLE, PLEASE CALL (617) 395-7000 IMMEDIATELY.

PATENTS TRADEMARKS COPYRIGHTS TECHNOLOGY TRANSFERS LITIGATION

Riverfront Office Park, One Main Street, Eleventh Floor, Cambridge, MA 02142 T 17-395-7000 F 17-395-7070

www.ll-a.com

737276.1

Attorney Docket No.: C01104/70089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan, et al.
Serial No: 10/040,292
Confirmation. No.: 1752
Filed: October 25, 2001
For: LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS
Examiner: A, Minh D
Art Unit: 2821

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the Office of Initial Patent Examination, at FAX number 703-746-9195, at the United States Patent and Trademark Office on the 27 day of February, 2004.


Jeanne W. Chub

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


Transmitted herewith are the following documents:

- ☒ Second Request for Corrected Filing Receipt
- ☒ Copy of Corrected Filing Receipt Mailed February 6, 2004

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

No check is enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,
Frederick M. Morgan, et al., Applicants

By: 
Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000

February 27, 2004

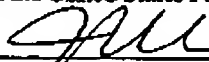
Attorney Docket No.: C01104/70089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan, et al.
Serial No: 10/040,292
Confirmation No.: 1752
Filed: October 25, 2001
For: LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS
Examiner: A, Minh D
Art Unit: 2821

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the Office of Initial Patent Examination, at FAX number 703-746-9195, at the United States Patent and Trademark Office on the 27 day of February, 2004.


Jeanne W. Chub

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

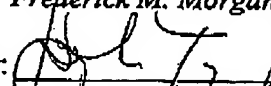
Transmitted herewith are the following documents:

- ☒ [X] Second Request for Corrected Filing Receipt
- ☒ [X] Copy of Corrected Filing Receipt Mailed February 6, 2004

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

No check is enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,
Frederick M. Morgan, et al., Applicants

By: 
Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000

February 27, 2004

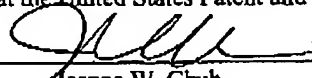
Attorney Docket No.: C01104/70089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan, et al.
Serial No: 10/040,292
Confirmation No.: 1752
Filed: October 25, 2001
For: LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS
Examiner: A, Minh D
Art Unit: 2821

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the Office of Initial Patent Examination, at FAX number 703-746-9195, at the United States Patent and Trademark Office on the 21 day of February, 2004.


Jeanne W. Chub

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Sir:

In response to the Corrected Filing Receipt Mailed February 6, 2004, Applicants respectfully note that the Applicant(s) section appearing on the Filing Receipt should appear as follows:

Frederick M. Morgan, Quincy, MA;
Ihor A. Lys, Boston, MA
George G. Mueller, Boston, MA
Kevin J. Dowling, Westford, MA

Applicants also respectfully note that the Domestic Priority Data as Claimed by Applicants section appearing on the Filing Receipt should appear as follows:

THIS APPLN CLAIMS BENEFIT OF 60/243,250 10/25/2000
AND CLAIMS BENEFIT OF 60/296,377 06/06/2001
AND CLAIMS BENEFIT OF 60/297,828 06/13/2001

Serial No.: 10/040,292

-2-

Docket No.: C01104/70089

AND CLAIMS BENEFIT OF 60/290,101 05/10/2001
AND A CIP OF 09/669,121 09/25/2000
WHICH IS A CON OF 09/425,770 10/22/1999 PAT 6,150,774
WHICH IS A CON OF 08/920,156 08/26/1997 PAT 6,016,038
THIS APPLICATION 10/040,292
IS A CIP OF 09/215,624 12/17/1998
AND A CIP OF 09/213,607 12/17/1998
AND A CIP OF 09/213,189 12/17/1998
AND A CIP OF 09/213,581 12/17/1998
AND A CIP OF 09/213,540 12/17/1998
AND A CIP OF 09/333,739 06/15/1999
AND A CIP OF 09/344,699 06/25/1999
AND A CIP OF 09/616,214 07/14/2000
AND A CIP OF 09/870,418 05/30/2001
AND A CIP OF 09/805,368 03/13/2001
AND A CIP OF 09/805,590 03/13/2001
AND A CIP OF 09/870,193 05/30/2001
AND A CIP OF 09/742,017 12/20/2000
WHICH IS A CON OF 09/213,548 12/17/1998 PAT 6,166,496
THIS APPLICATION 10/040,292
IS A CIP OF 09/815,418 03/22/2001
WHICH ALSO IS A CON OF 09/213,548 12/17/1998 PAT 6,166,496
WHICH CLAIMS BENEFIT OF 60/071,281 12/17/1997
AND CLAIMS BENEFIT OF 60/068,792 12/24/1997
AND CLAIMS BENEFIT OF 60/078,861 03/20/1998
AND CLAIMS BENEFIT OF 60/079,285 03/25/1998
AND CLAIMS BENEFIT OF 60/090,920 06/26/1998

Applicants also respectfully note that the Foreign Applications section appearing on the
Corrected Filing Receipt should be blank.

Serial No.: 10/040,292

-3-

Docket No.: C01104/70089

A copy of the Corrected Filing Receipt marked with corrections in red is enclosed.

No fee is believed to be required. If a fee is required, the balance may be charged to

Deposit Account No. 50/2762.

Respectfully submitted,

Frederick M. Morgan, et al., Applicants

By: 

Joseph Teja, Jr., Reg. No. 45,157

LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, MA 02142

(617) 395-7000

February 27 2004

CORRECT FR
DOCKETED

Page 1 of 3

DUE: *3.16.04*

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/040,292	10/25/2001	2821	1394	C01104/70089 (RFG/JT)	20	73	7

CONFIRMATION NO. 1752

CORRECTED FILING RECEIPT

(RECEIVED FROM THE USPTO BY THE APPLICANT OR THE APPLICANT'S ATTORNEY OR AGENT)
 OC000000011854285

23628
 WOLF GREENFIELD & SACKS, PC
 FEDERAL RESERVE PLAZA
 600 ATLANTIC AVENUE
 BOSTON, MA 02210-2211

Date Mailed: 02/06/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frederick M. Morgan, Quincy, MA;
 Ihor A. Lys, Boston, MA;
 George G. Mueller, Boston, MA;
 Kevin J. Dowling, Westford, MA;

should be Kevin

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/243,250 10/25/2000
 and claims benefit of 60/296,377 06/06/2001
 and claims benefit of 60/297,828 06/13/2001
 and claims benefit of 60/290,101 05/10/2001
 and is a CIP of 09/669,121 09/25/2000
 which is a CON of 09/425,770 10/22/1999 PAT 6,150,774
 which is a CON of 08/920,156 08/26/1997 PAT 6,016,038
 This application 10/040,292
 is a CIP of 09/215,624 12/17/1998 PAT 6,528,954
 and is a CIP of 09/213,607 12/17/1998 ABN
 and is a CIP of 09/213,189 12/17/1998 PAT 6,459,919
 and is a CIP of 09/213,581 12/17/1998
 and is a CIP of 09/213,540 12/17/1998
 and is a CIP of 09/333,739 06/15/1999
 and is a CIP of 09/344,699 06/25/1999
 and is a CIP of 09/616,214 07/14/2000
 and is a CIP of 09/870,418 05/30/2001
 and is a CIP of 09/805,368 03/13/2001
 and is a CIP of 09/805,590 03/13/2001

CIP

and is a CIP of 09/870,193 05/30/2001 PAT 6,608,453
and is a CIP of 09/742,017 12/20/2000 ABN
which is a CON of 09/213,548 12/17/1998 PAT 6,166,496
This application 10/040,292
is a CON of 09/815,418 03/22/2001 PAT 6,577,080
which is a CON of 09/213,548 12/17/1998 PAT 6,166,496
which claims benefit of 60/071,281 12/17/1997
and claims benefit of 60/068,792 12/24/1997
and claims benefit of 60/078,861 03/20/1998
and claims benefit of 60/079,285 03/25/1998
and claims benefit of 60/090,920 06/26/1998

Foreign Applications

~~UNITED STATES OF AMERICA PCTUS98/17702 08/26/1998~~
~~UNITED STATES OF AMERICA PCTUS98/17702 08/26/1998~~
~~UNITED STATES OF AMERICA PCTUS98/17702 08/26/1998~~

Delete

If Required, Foreign Filing License Granted: 02/12/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Light sources for illumination of liquids

Preliminary Class

315

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).